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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Ann Marie Schmidt, et al.

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Serial No.

09/689,469

TECH CENTER 1600/2900

Filed

October 12, 2000

For

A METHOD FOR INHIBITING TUMOR INVASION OR

SPREADING IN A SUBJECT

1185 Avenue of the Americas

New York, New York 10036

August 9, 2001

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56, applicants direct the Examiner's attention to the following references which are listed on the PTO-1449 form attached hereto as Exhibit A.

- 1. WO98/22138 A1 (The Trustees Of Columbia University In The City Of New York) 28 May 1998;
- 2. Hori et al. 'The Receptor for Advanced Gycation Endproducts: Implications for the Development of Diabetic Vascular Disease. Fundam. Clin. Cardiol.' In: The Endothelium in Clinical Practice. January 1997, Chapter 11, pages 311-329;
- 3. Chen et al. Amyloid-beta Peptide- Receptor for Advanced

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Glycation Endproduct Interaction Elicits Neuronal Expression of Macrophage-Colony Stimulating Factor: A Proinflammatory Pathway in Alzheimer Disease. Proc. Natl. Acad. Sci. 13 May 1997, Vol. 94, No. 10, pages 5296-5301;

- 4. Schmidt et al. V-domain of Receptor for Advanced Glycation Endproducts (RAGE) Mediates Binding of AGEs: A Novel Target for Therapy of Diabetic Complications. Circulation. 21 October 1997, Vol. 96, No. 8 Suppl., page I37;
- 5. Hori et al. The Receptor for Advanced Glycation Endproducts (RAGE) Is A Cell Surface Receptor for Amphoterin in the Developing Central Nervous System (CNS) to Promote Neurite Outgrowth. FASEB J. 1995, Vol. 9, No. 3, page A382;
- 6. International Search Report of International Application No. PCT/US99/08427, dated July 29, 1999;
- 7. Morser et al., U.S. Patent No. 5,864,018, filing date April 16, 1996 (Exhibit B);
- 8. Morser et al. PCT International Application No. PCT/EP97/01832, filed 11 April 1997, published October 23, 1997, Publication No. WO 97/39121, Advanced Glycation Endproduct Receptor Peptides and Uses Thereof (Exhibit C).
- 9. Morser et al. PCT International Application No. PCT/EP97/01834, filed April 11, 1997, published October 23, 1997; Publication No. WO 97/39125, Antibodies Against the Advanced Glycation Endproduct Receptor and Uses Thereof

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(Exhibit D).

- 10. Park, L., et al. (1998) "Suppression of accelerated diabetic atherosclerosis by soluble Receptor for AGE (sRAGE)" Nature Medicine, 4:1025-1031 (Exhibit E).
- Vlassara, H., et al. (1995) "Identification of Galectin-2 as a high affinity binding protein for Advanced Glycation Endproducts (AGE): a new member of the AGE-Receptor complex" Molecular Medicine, 1:634-646 (Exhibit F).
- 12. Vlassara et al., US Patent 5,585,344 (Exhibit G).
- Yan S-D, Chen X, Chen M, Zhu H, Roher A, Slattery T, Zhao L, Nagashima M, Morser J, Migheli A, Nawroth P, Stern DM, Schmidt A-M: RAGE and amyloid-beta peptide neurotoxicity in Alzheimer's disease. Nature 1996;382:685-691 (Exhibit H).

The above-listed documents numbered 1-6 were originally submitted to the U.S. Patent and Trademark Office in connection with parent application U.S. Serial No. 09/062,365 from which the present application claims priority under 35 U.S.C. §120, therefore, copies of these documents are not enclosed herewith. Copies of references 7-13 are attached hereto as **Exhibits B-H**. Applicants request that the Examiner make these documents of record.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned

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attorney invites the Examiner to telephone at the number provided below.

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any fee is required in connection with the filing of this Information Disclosure Statement, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to: Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231.

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